Kinetics of Transesterification of Dimethylene Terephthalate with Ethylene Glycol*

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Synopsis

There is a disagreement about the order of reaction of transesterification of DMT with EG. The reactivity of the two ester groups is not compared yet. The transesterification of DMT and MHET is studied to establish the kinetics of the process. Attempts are made to treat the kinetic data on a mathematical model for third-order reaction.

INTRODUCTION

The transesterification reaction is an essential part of the manufacturing process of polyethylene terephthalate. The success of this reaction depends mainly on the reaction conditions chosen. The degree of purity of raw materials and technical arrangement of the ester interchange equipment also play a part.

The transesterification reaction is strongly dependent on a catalyst; without addition of a catalyst it proceeds very slowly. The kinetics of the catalytic transesterification has received the attention of several investigators. Griehl and Schnock¹ concluded that the reaction is of second order (including catalyst) whereas Fontana² concluded it to be of third order. Peebles and Wagner³ could not conclusively determine the order of reaction.

The aim of the present work is to examine the kinetics of transesterification in more detail to establish the order of reaction. For this purpose only the two prominent reactions may be considered.



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Journal of Applied Polymer Science, Vol. 30, 205–219 (1985) © 1985 John Wiley & Sons, Inc. CCC 0021-8995/85/010205-15\$04.00 The experimental conditions for the present study chosen involve the use of excess of ethylene glycol (EG) and the continuous removal of liberated methanol. Under these conditions, the following reactions can be conveniently neglected:

Mathematical Treatment

The following abbreviations are used: A = dimethyl terephthalate (DMT); B = ethylene glycol (EG); R = methyl, hydroxyethyl terephthalate (MHET); M = methanol (M); S = bishydroxyethyl terephthalate (BHET); C = catalyst; V = Reaction volume (mL); k_1 = third-order rate constant for reaction (1) mL²/mol² min; k_2 = Third order rate constant for reaction (2) mL²/mol² min; N_i = moles of species i; N_{i0} = moles of species i at zero time; t = time (min); x = conversion. The reaction system can be represented as

$$\begin{array}{ccc} \text{DMT} + \text{EG} & \xrightarrow{k_1} & \text{MHET} + \text{methanol} \\ \text{(A)} & \text{(B)} & \text{(R)} & \text{(M)} \end{array} \tag{1}$$

$$\begin{array}{c} \text{MHET} + \text{EG} \xrightarrow{k_2} \text{BHET} + \text{methanol} \\ (R) \quad (B) \quad (S) \quad (M) \end{array} \tag{2}$$

Thus,

$$A + B \xrightarrow{k_1} R + M$$
$$R + B \xrightarrow{k_2} S + M$$

Assuming that the reactions are of first order with respect to each reactant, viz., DMT, MHET, EG, and the catalyst, the mathematical treatment may be given as follows: Rate of disappearance of A

$$-\frac{1}{V}\frac{dN_{\rm A}}{dt} = k_1 \left(\frac{N_{\rm A}}{V}\right) \left(\frac{N_{\rm B}}{V}\right) \left(\frac{N_{\rm C}}{V}\right) \tag{3}$$

and rate of formation of R

$$-\frac{1}{V}\frac{dN_{\rm R}}{dt} = \left[-k_1\left(\frac{N_{\rm A}}{V}\right)\left(\frac{N_{\rm B}}{V}\right) + k_2\left(\frac{N_{\rm R}}{V}\right)\left(\frac{N_{\rm B}}{V}\right)\right]\left(\frac{N_{\rm C}}{V}\right) \tag{4}$$

Reactivity of methylester group in DMT and MHET has been assumed to be the same. Therefore, $k_1 = 2k_2$. Hence eq. (4) becomes

$$\frac{1}{V}\frac{dN_{\rm R}}{dt} = k_{\rm I}\left(\frac{N_{\rm C}}{V}\right)\left(\frac{N_{\rm B}}{V}\right)\left[\frac{N_{\rm A}}{V} - 0.5\left(\frac{N_{\rm R}}{V}\right)\right]$$
(5)

Dividing (5) by (3), we obtain

$$\frac{dN_{\rm R}}{dN_{\rm A}} = -1 + 0.5 \left(\frac{N_{\rm R}}{N_{\rm A}}\right) \tag{6}$$

Solving this differential equation with initial condition at t = 0, $N_A = N_{A0}$, $N_B = N_{B0}$, and $N_R = 0$, we obtain

$$N_{\rm R} = \frac{N_{\rm A}}{0.5} \left[\left(\frac{N_{\rm A0}}{N_{\rm A}} \right)^{0.5} - 1 \right]$$

or

$$N_{\rm R} = 2N_{\rm A} \left[\left(\frac{N_{\rm A0}}{N_{\rm A}} \right)^{0.5} - 1 \right] \tag{7}$$

The mole balance for ethylene glycol is

$$N_{\rm B0} = N_{\rm B} + N_{\rm R} + 2N_{\rm S} \tag{8}$$

Also,

$$N_{\rm B0} = N_{\rm B} + N_{\rm M} \tag{9}$$

The mole balance for DMT is

$$N_{\rm A0} = N_{\rm A} + N_{\rm R} + N_{\rm S} \tag{10}$$

Simplifying, we obtain

$$N_{\rm B} = N_{\rm B0} - N_{\rm M} \tag{11}$$

$$N_{\rm R} = -2(N_{\rm A} - N_{\rm A0}) - N_{\rm M} \tag{12}$$

$$N_{\rm S} = N_{\rm A} - N_{\rm A0} + N_{\rm M} \tag{13}$$

Comparing (7) and (12), we obtain

$$2(N_{A0} - N_A) - N_M = -2N_A + 2N_A^{0.5}N_{A0}^{0.5}$$

Therefore,

$$N_{\rm A}^{0.5} = \left(\frac{2N_{\rm A0} - N_{\rm M}}{2N_{\rm A0}^{0.5}}\right) \tag{14}$$

or

$$N_{\rm A} = rac{(2N_{
m A0} - N_{
m M})^2}{4N_{
m A0}}$$

Now the rate of methanol formation

$$-\frac{1}{V}\frac{dN_{\rm M}}{dt} = -k_1 \frac{N_{\rm C}}{V} \left[\left(\frac{N_{\rm A}}{V} \right) \left(\frac{N_{\rm B}}{V} \right) + 0.5 \left(\frac{N_{\rm R}}{V} \right) \left(\frac{N_{\rm B}}{V} \right) \right]$$

Let the apparent rate constant $k_a = k_1 N_{\rm C}$. Therefore,

$$\frac{dN_{\rm M}}{dt} = \frac{k_{\rm a}N_{\rm B}(N_{\rm A}+0.5~N_{\rm R})}{V^2}$$

Therefore,

$$rac{dN_{
m M}}{N_{
m B}(N_{
m A}+0.5N_{
m R})/V^2}=\,k_a\,dt$$

Let

$$N_{\rm B}(N_{\rm A} + 0.5N_{\rm R})/V^2 = y_{N_M}$$

$$V = V_0(1 - \epsilon x)$$
 and $\epsilon = \frac{V_0 - V_{\text{final}}}{V_0}$

Therefore,

$$\frac{dN_{\rm M}}{y_{N_{\rm M}}} = k_a \, dt$$

Integrating, we obtain

$$\int_{0}^{N_{M}} \frac{dN_{M}}{y_{N_{M}}} = k_{a}t$$

208

Let $lhs = Y_{NM}$. Thus $Y_{NM} = k_a t$.

If the mathematical model holds good then plot of Y_{NM} vs. t will be a straight line passing through the origin and with slope equal to the apparent rate constant k_{a} .

From the knowledge of k_{α} the true rate constant k_1 can be calculated by

$$k_1 = \frac{k_a}{N_c} \frac{mL^2}{\mathrm{mol}^2 \mathrm{min}}$$

There is no evidence in literature to assume that the reactivity of methyl ester group in DMT and MHET is same. Therefore, the transesterification of MHET may also be treated as follows:

$$\begin{array}{l}
\text{MHET} + \text{EG} \xrightarrow{k_2} \text{BHET} + \text{CH}_3\text{OH} \\
\text{(R)} \quad \text{(B)} \quad \text{(S)} \quad \text{(M)} \\
\\
-\frac{1}{V} \frac{dN_{\text{R}}}{dt} = k_2 \frac{N_{\text{R}}}{V} \frac{N_{\text{B}}}{V} \frac{N_{\text{C}}}{V} \\
\end{array}$$
(15)

Conversion

$$x = \frac{N_{\rm R0} - N_{\rm R}}{N_{\rm R0}} = \frac{N_{\rm M}}{N_{\rm R0}}$$

$$N_{\rm R} = N_{\rm R0} (1 - x)$$
(16)

Also

$$egin{aligned} N_{
m B} &= N_{
m B0} - N_{
m M} \ &= N_{
m B0} - N_{
m R0} \; x \ &= N_{
m R0} \left(rac{N_{
m B0}}{N_{
m R0}} - x
ight) \end{aligned}$$

Taking $N_{\rm B0} = N_{\rm R0}$, we obtain

$$N_{\rm B} = N_{\rm R0}(1-x) \tag{17}$$

Substituting (16) and (17) in (15), we obtain

$$N_{\rm R0} \frac{dx}{dt} = \frac{k_2 N_{\rm R0} (1-x) N_{\rm R0} (1-x) N_{\rm C}}{V^2}$$

Therefore,

$$rac{dx}{dt} = rac{k_2 N_{
m R0} N_{
m C} (1-x)^2}{V_0^2 (1-\epsilon x)^2}$$

Time (min)	$N_{ m M}$	$N_{ m B}$	ਖ	Α	N_{A}	$N_{ m R}$	$y_{NM} imes 10^5$	$(1/y_{NM}) imes 0^{-5}$	$Y_{\scriptscriptstyle NM} imes 10^{-4}$
0	0.0000	0.8950	0.000	117.7	0.311	0.000	2.01	0.498	0.00
15	0.2000	0.6950	0.320	109.1	0.143	0.136	1.23	0.813	1.32
30	0.3025	0.5925	0.486	104.6	0.082	0.155	0.86	1.163	2.30
45	0.3875	0.5075	0.623	100.9	0.044	0.146	0.58	1.712	3.56
60	0.4600	0.4350	0.740	97.7	0.021	0.120	0.37	2.717	5.06
a DMT =	: 60.322 g = ().311 mol; EG =	= 55.5 g = 0.8	195 mol; zinc a	cetate catalys	t = 0.0145 g =	6.621×10^{-5} mol;	ε = 0.23.	

TABLE I Kinetic Data on Transesterification of DMT at 170°C^a



Fig. 1. Relationship between $1/y_{N_{H}}$ and N_{M} for the transesterification of DMT: (•) 190°C; (()) 185°C; (•) 175°C; (() 170°C.



Fig. 2. Relationship between Y_{NM} and time for the transesterification of DMT: (\bigcirc) 190°C; (\bigcirc) 185°C; (\triangle) 175°C; (\triangle) 170°C.

 Time (min)	N _M	x	Y,	
 0		0.0000	0.00	
15	0.0475	0.2375	0.30	
30	0.0875	0.4375	0.72	
45	0.1025	0.5125	0.95	
60	0.1200	0.6000	1.33	

TABLE II Kinetic Data on Transesterification of MHET at 170°C^a

^a MHET = 44.5 g = 0.2 mol; EG = 12.4 g = 0.2 mol; zinc acetate catalyst = 0.0140 g = 6.393×10^{-5} mol; $\epsilon = 0.155$.

Let apparent rate constant

$$k'_{a} = rac{k_{2}N_{
m R0}N_{
m C}}{V_{0}^{2}}$$

Then

$$\frac{(1-\epsilon x)^2}{(1-x)^2}\,dx=k'_a\,dt$$

Integrating, we obtain



Fig. 3. Relationship between Y_x and time for the transesterification of MHET: (\bigoplus) 190°C; (\bigcirc) 185°C; (\triangle) 175°C; (\triangle) 170°C.

nin)	$N_{ m M}$	$N_{ m B}$	×	Δ	$N_{\mathtt{A}}$	$N_{ m R}$	JNH Y TO		
0	0	0.8950	0.000	117.7	0.311	0.000	2.01	0.498	0.00
10	0.1800	0.7150	0.270	109.9	0.157	0.128	1.31	0.764	1.23
20	0.3125	0.5825	0.502	104.2	0.077	0.155	0.83	1.205	2.48
40	0.4300	0.4650	0.691	98.9	0.030	0.133	0.46	2.193	4.32
50	0.4800	0.4150	0.770	96.7	0.016	0.110	0.32	3.175	5.60

50 10 20 0

Kinetic Data on Transesterification of DMT at 175°C^a TABLE III

Time (min)

213

Time	λ7		v	
 (IIIII)	1VM	<i>x</i>	<i>I</i> _x	
0	0.0000	0.0000	0.00	
15	0.0700	0.3500	0.51	
30	0.0950	0.4750	0.82	
45	0.1225	0.6125	1.39	
60	0.1350	0.6750	1.80	

TABLE IV Kinetic Data on Transesterification of MHET at 175°C*

 a MHET = 44.8 g = 0.2 mol; EG = 12.4 g = 0.2 mol; zinc acetate catalyst = 0.0137 g = 6.256 \times 10^{-5} mol; ϵ = 0.155.

$$\int_{0}^{x} \frac{(1-2\epsilon x+\epsilon^{2}x^{2})}{(1-x)^{2}} dx = k'_{a} \int_{0}^{t} dt$$
(18)

Hence

$$\frac{(1-\epsilon)^2 x}{(1-x)} + \epsilon^2 x^2 - 2\epsilon (1-\epsilon) \ln(1-x) = k'_a t$$

Let $hs = Y_x$. Then, if the mathematical model holds good, the plot of Y_x vs. t should be a straight line passing through origin with slope equal to apparent rate constant k'_a . From the knowledge of k'_a , the true rate constant k_2 can be calculated as

$$k_2 = \frac{k_a' V_0^2}{N_{\rm R0} N_{\rm C}} \frac{\rm mL^2}{\rm mol^2 \min}$$

Experimental work was undertaken to test these models.

EXPERIMENTAL

Materials: DMT (polymerization grade); EG (polymerization grade); zinc acetate; MHET (prepared by the SPRC process⁴). All experiments were carried out in a Corning three-necked flask.

The reaction vessel was charged with 60 g DMT and 50 g EG. The vessel was heated in an oil bath to melt the charge and bring the temperature. The thermometers were located in reaction mixture and in the bath. The catalyst was dissolved separately in 5 g EG, and the reaction was started by adding this mixture to the charge maintained at the desired temperature.

A packed column was provided to separate methanol and ethylene glycol, and the system was lagged up to the condenser. Chilled water was circulated through condenser, and the methanol collector was kept in an ice bath.

The same experimental set up was used for the experiments with MHET charge, wherein 44.8 g MHET and 12.4 g EG were used.

No reaction occurred prior to the catalyst addition and methanol was almost explosively evolved upon the addition of catalyst; because of this, the zero time was the time of addition of catalyst.

$_{\mu} imes 10^{5}$	$(1/y_{N_M}) imes 10^{-5}$	$Y_{\scriptscriptstyle NM} imes 10^{-4}$
2.01	0.496	0.00
1.16	0.858	1.43
0.64	1.563	3.17
0.45	2.208	4.28
0.25	4.000	6.52

TABLE V Kinetic Data on Transesterification of DMT		0
TABLE V Kinetic Data on Transesterification		of DMT
Kinetic Data on	TABLE V	Transesterification
Kinetic Data		uo
Kinetic		Data
		Kinetic

 $N_{
m R}$

 $N_{\rm A}$

 \mathbf{b}

ĸ

 $N_{\rm B}$

 $N_{\rm M}$

Time (min)

* DMT = 60.76 g = 0.313 mol; EG = 55.5 g = 0.895 mol; zinc acetate catalyst = 0.0147 g = 6.71 \times 0.000 0.143 0.151 0.129 0.096 0.313 0.132 0.052 0.031 0.011 118.2 108.6 102.1 99.0 95.7 0.00 0.35 0.59 0.69 0.81 0.895 0.675 0.525 0.465 0.388 $\begin{array}{c} 0.00\\ 0.22\\ 0.37\\ 0.43\\ 0.51\end{array}$ 45 30 10 0

WITH EG TRAN

215

Americ Da	ta un Transesteri	neation of MINE	at 189 C.	
Time (min)	N _M	x	Y _x	<u> </u>
0	0.0000	0.0000	0.00	
15	0.0825	0.4125	0.65	
30	0.1250	0.6250	1.46	
45	0.1425	0.7125	2.11	
60	0.1525	0.7625	2.69	
	Time (min) 0 15 30 45 60	Time N_M 0 0.0000 15 0.0825 30 0.1250 45 0.1425 60 0.1525	Ninetic Data on Transesterintation of MITER Time (min) $N_{\rm M}$ x 0 0.0000 0.0000 15 0.0825 0.4125 30 0.1250 0.6250 45 0.1425 0.7125 60 0.1525 0.7625	Ninetic Data on Transestermication of MHET at 185 C ⁻ Time (min) $N_{\rm M}$ x Y_x 0 0.0000 0.0000 0.00 15 0.0825 0.4125 0.65 30 0.1250 0.6250 1.46 45 0.1425 0.7125 2.11 60 0.1525 0.7625 2.69

TABLE VI Kinetic Data on Transesterification of MHET at 185°C*

* MHET = 44.8 g = 0.2 mol; EG = 12.4 g = 0.2 mol; zinc acetate catalyst = 0.0166 g = 7.56 \times 10^{-5} mol; ε = 0.155.

The reactants were stirred at sufficiently high speed to eliminate mass transfer constraints.

RESULTS

Data on analysis of a typical run is shown in Table I. The relationship between $1/y_{N_M}$ and N_M is shown in Figure 1. The area under the curve from $N_M = 0$ to $N_M = N_M$ gives the value of Y_{N_M} as shown in Table I.

 Y_{N_M} is correlated with time in Figure 2 and the slope of this plot gives the apparent rate constant k_a . The slope of this plot is 791.11 (mL²/mol min):

$$k_1 = -791.11/6.621 imes 10^{-5} = 11.95 imes 10^6 \, {
m mL^2/mol^2 \, min}$$

Similarly Table II contains the data on analysis of a typical run carried out with MHET at 170°C. The relationship between Y_x and time is shown in Figure 3. The slope of this plot gives the apparent rate constant k'_a . The slope of this plot is 0.0218 min⁻¹:

$$k_2 = 0.0218 \times V_0^2 / N_{\rm C} \times N_{
m R0} = 0.0218 \times 56.036^2 / (0.0140/219) \times 0.2$$

= 5.35 × 10⁶ mL²/mol² min

The data for other runs are given in Tables III–VIII. Summary of the values of k_1 and k_2 obtained at various temperatures is given in Table IX.

Activation energy for the two reactions is obtained from Arrhenious plots (Fig. 4). From Figure 4 the activation energy for reaction (1) is 14.34 kcal/mol and that for reaction (2) is 14.69 kcal/mol.

Thus experiments with zinc acetate catalyst gave the third-order rate constant. The rate constants are given by the equations (least-squares fit)

$$\ln k_1 = -7216/T + 32.625$$

where T is the temperature (K) and

$$\ln k_2 = -7392/T + 32.245$$

DISCUSSION

The results clearly demonstrate that the reaction is of the first order with respect to each reactant, i.e., the total order of reaction is 3. Thus all

	$Y_{\scriptscriptstyle NM} imes 10^{-4}$	0:00	1.73	4.40	8.14	9.70	
	$(1/y_{\scriptscriptstyle NM}) imes 10^{-5}$	0.49	1.05	2.04	5.02	7.34	= 0.231.
at 190°Cª	$y_{N_M} imes 10^5$	2.01	0.95	0.49	0.20	0.14	9.543×10^{-5} mol; $\epsilon =$
I tion of DMT	$N_{ m R}$	0.000	0.155	0.138	0.089	0.063	= 0.0209 g =
TABLE VI 1 Transesterifica	$N_{\rm A}$	0.313	0.096	0.034	0.008	0.004	cetate catalyst
Kinetic Data or	Λ	118.2	106.0	6.66	95.3	94.1	0.895 mol; zinc a
	R	0.00	0.45	0.67	0.84	0.88	: 55.5 g = (
	$N_{ m B}$	0.895	0.615	0.475	0.368	0.340	0.313 mol; EG =
	N _M	0.00	0.28	0.42	0.53	0.56	60.76 g = (
	Time (min)	0	10	20	30	40	* DMT =

Time (min)	$N_{ m M}$	x	Y _x
0	0.0000	0.0000	0.00
15	0.1050	0.5250	0.99
30	0.1400	0.7000	1.99
45	0.1575	0.7875	3.07
60	0.1675	0.8375	4.17

TABLE VIII Kinetic Data on Transesterification of MHET at 190°C*

* MHET = 44.8 g = 0.2 mol; EG = 12.4 g = 0.2 mol; zinc acetate catalyst = 0.0199 g = 9.087 \times 10⁻⁵ mol; ϵ = 0.155.

TABLE IX Rate Constants for Transesterification of DMT and MHET Temp. (°C) $k_1 imes 10^{-6}$ $k_2 \times 10^{-6}$ 170 11.95 5.35 175 15.64 7.53 185 21.28 9.57 190 24.88 11.76



Fig. 4. Relationship between $\ln k$ and 1/T.

the data fit very well with the mathematical models developed on this assumption.

The transesterification of the two ester groups in DMT takes place in the same fashion giving almost expected rate constants and activation energy for the reaction. However, the rate constant values for the transesterification of MHET are always slightly less than the expected values from k_1 (i.e., $k_1/2$). It is difficult to reject this observation as an experimental error. It will be interesting to find out whether the reactivity of the second ester group is in fact reduced by the introduction of glycol residue in place of the methanol residue.

CONCLUSION

The kinetics of transesterification of DMT and MHET with EG is studied at 170–190°C. The rate constants for the two reactions are determined, and the activation energy for the reaction is estimated. It is concluded that the transesterification reaction is of third order with respect to DMT, EG, and the catalyst. Both the ester groups appear to have almost similar reactivity.

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